

# Request for proposal (RFP) for services

Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River

RFPNo.UNOPS-SFRS-2015-S-066



Date: 20 October 2015

# Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

## Section 1: Proposal data

RFP number:

RFPUNOPS-SFRS-2015-S-066

Project:

**SFRS** 

Procurement official's name:

Bozidar Radivojevic

Email:

bozidarr@unops.org

Telephone number:

+38111 2445-687

Facsimile:

+38111 2445-687

Issue date:

20 October 2015

### Pre-proposal conference / site visit - not applicable

Date:

Time:

Mode:

Place:

### Requests for clarifications due - not applicable

Date:

Time:

### UNOPS clarifications to offerors

Responses to requests for clarification shall be communicated to offerors by posting responses on UNOPS web site (www.unops.org), LBHT web site (http://www.sagradimodom.org) and EUPROGRES website (www.euprogres.org) under ITB Case No. UNOPS-SFRS-2015-S-066. Requests for clarification from offerors will not be accepted any later than 4 days before the Deadline for Proposal Submission.

### Proposal due

Date:

12 November 2015

Time:

12:00h, noon, CET (Serbian time zone UTC+01:00)

### Oral presentations - not applicable

Date:

Mode:

Place:

### Planned award date

Date:

November 2015

### Planned contract start date / delivery date (on or before)

Date:

November 2015



Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River

REP No UNOPS-SERS-2015-S-066

### Section 2 - RFP letter

UNOPS plans to procure services of Teaching Assistants to Local Teachers in Elementary Schools of Presevo and Bujanovac Teaching Serbian as Non-Mother Tongue as defined in accordance with these documents. UNOPS now invites sealed proposals from qualified offerors for providing the requirements as defined in the UNOPS Terms of Reference attached hereto. Proposals must be received by UNOPS at the address specified not later than 12:00h, noon, CET (Serbian time zone UTC+01:00) on 12 November 2015.

This RFP is conducted in accordance with the UNOPS Procurement Manual and all other relevant directives and issuances and can be accessed by following the below link. For clarification on specific issues or to learn more details about procurement at UNOPS offerors are encouraged to consult the UNOPS Procurement Manual. In case of contradictions between this RFP and the UNOPS Procurement Manual shall prevail.

http://www.unops.org/SiteCollectionDocuments/Procurement/UNOPS%20procurement%20manual%20 EN.pdf

This UNOPS RFP consists of six sections and a series of annexes that will be completed by offerors and returned with their proposal:

RFP section 1: Proposal data sheet RFP section 2: RFP letter (this document)

RFP section 3: Instructions to offerors

RFP section 4: UNOPS terms of reference/statement of work

RFP section 5: UNOPS General Conditions of Contract (goods/services/small services)

Annex A Proposal/no proposal confirmation form

Annex B Mandatory requirements/pre-qualification criteria

Annex C Technical proposal submission form
Annex D Financial proposal submission form

Annex E UNGM Vendor registration form

Interested offerors may obtain further information by contacting this email address: srpc.procurement@unops.org

Pre-cleared by:

Date: 20/10/2015

Bozidar Radivojevic; Procurement Reviewer

Approved by:

Date:

Graeme Tyndall; UNOPS RSOC Manager



Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

**Section 3: Instructions to offerors** 

### 1 Introduction

- 1.1. UNOPS invites qualified firms to submit technical and Financial Proposals to provide services associated with the UNOPS requirement for supporting Municipalities in Improving Social Inclusion Thorough Employment of Vulnerable and Marginalised Groups at its UNOPS/European PROGRES programme, Sumatovacka 59, 11 000 Belgrade.
- 1.2. A description of the services required is described in RFP section 4 terms of reference.
- 1.3. UNOPS may, at its discretion, cancel the requirement in part or in whole.
- 1.4. Offerors may withdraw the proposal after submission provided that written notice of withdrawal is received by UNOPS prior to the deadline prescribed for submission of proposals. No proposal may be modified subsequent to the deadline for submission of proposal. No proposal may be withdrawn in the interval between the deadline for submission of proposals and the expiration of the period of proposal validity.
- 1.5. All proposals shall remain valid and open for acceptance for a period of 90 calendar days after the date specified for receipt of proposals. A proposal valid for a shorter period may be rejected. In exceptional circumstances, UNOPS may solicit the offeror's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing.
- 1.6. Effective with the release of this solicitation, <u>all</u> communications must be directed only to UNOPS Procurement Official, Bozidar Radivojevic by email at srpc.procurement@unops.org. Offerors must not communicate with any other personnel of UNOPS regarding this RFP.

# 2 Cost of proposal

The cost of preparing a proposal, attendance at any pre-proposal conference, meetings or oral presentations shall be borne by the offerors, regardless of the conduct or outcome of the solicitation process. Proposals must offer the services/goods for the total requirement; proposals offering only part of the services/goods will be rejected unless the option of submitting a proposal for any or all lot/s of the requirement has been expressly stated in the terms of reference/statement of work.

# 3 Eligibility

Offerors must not be associated, or have been associated in the past, directly or indirectly, with a firm or any of its affiliates which have been engaged by UNOPS to provide consulting services for the preparation of the design, terms of references, and other documents to be used for the procurement of the services/goods under this Request for Proposal.

All suppliers are expected to embrace the principles of the <u>United Nations Supplier Code of Conduct</u>, given that it originates from the core values of the Charter of the United Nations. UNOPS also expects all its suppliers to adhere to the principles of the United Nations Global Compact.

Offerors must not be under a declaration of ineligibility for corrupt and fraudulent practices published by UNOPS on its website. Offerors must meet the eligibility criteria as published on the <u>UNOPS</u> website.



### 4 Clarification of solicitation documents

a. A prospective offeror requiring any clarification of the solicitation documents may notify UNOPS in writing at UNOPS mailing or email address indicated in the RFP by the specified date and time. UNOPS will respond in writing to any request for clarification of the solicitation documents that it receives by the due date outlined on page 1. Written copies of UNOPS response (including an explanation of the query but without identifying the source of inquiry) will be sent to all prospective offerors that have received the solicitation documents.

If the RFP has been advertised publicly, the results of any clarification exercise (including an explanation of the query but without identifying the source of inquiry) will be posted on the UNOPS website and UNGM.

### 5 Amendments to solicitation documents

At any time prior to the deadline for submission of proposals, UNOPS may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective offeror, modify the solicitation documents by amendment. All prospective offerors that have received the solicitation documents will be notified in writing of all amendments to the Solicitation documents. For open competitions, all amendments will also be posted on the UNOPS website and UNGM in the tender notice.

In order to afford prospective offerors reasonable time in which to take the amendment into account in preparing their proposals, UNOPS may, at its discretion, extend the deadline for the submission of proposal.

# 6 Language of Proposals

The proposals prepared by the offeror and all correspondence and documents relating to the proposal exchanged by the offeror and UNOPS, shall be written in English. Supporting documents and printed literature furnished by the offeror may be in another language provided they are accompanied by an appropriate translation of all relevant passages in English. In any such case, for interpretation of the proposal, the translation shall prevail. The sole responsibility for translation and the accuracy thereof shall rest with the offeror.

# 7 Submission of Proposal

- 7.1. Technical and financial proposals should be submitted simultaneously but in <u>separate</u> sealed envelopes with the RFP reference and the clear description of the proposal (technical or financial) by the date and time stipulated in this document. When submission is in hard copy both inner envelopes should indicate the name and address of the offeror. The first inner envelope should contain the offeror's technical proposal with copies duly marked "original" and "copy". The second inner envelope should include the financial proposal duly identified as such. If the envelopes are not sealed and marked as instructed, UNOPS will assume no responsibility for the misplacement or premature opening of the proposals submitted.
  - 7.1.1. Technical proposals should be submitted in one (1) original envelope accompanied by the forms prescribed in this RFP, clearly marked as technical proposal with additional copies and an electronic copy on a CD or a USB stick in MS Word for Windows 2003 or higher format. Technical proposals (both original and copies) must be sealed in a specially marked envelope labelled:

RFP No UNOPS-SFRS-2015-S-066—Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River —TECHNICAL PROPOSAL- (name and address of offeror)



Financial proposals should be submitted in <u>one</u> (1) original envelope on the form prescribed herein and an electronic copy on a CD or USB stick in MS Excel for Windows 2003 or higher format. Financial proposals should be sealed <u>separately</u> in a specially marked envelope labelled:

DO NOT OPEN! - RFP No UNOPS-SFRS-2015-S-066 -Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River –FINANCIAL PROPOSAL- (name and address of offeror)

7.1.2. All proposals will be addressed as follows for mailing:

Procurement Unit, UNOPS/European PROGRES, Sumatovacka 59, 11 000 Belgrade

- 7.1.3. Offerors should use recycled paper for all printed and photocopied documents related to the submission of this proposal and fulfilment of this contract and shall, whenever practicable, use both sides of the paper. Offerors are encouraged to use green alternatives to bind their proposals instead of binders.
- 7.2. Proposals should be received by the date time and means of submission stipulated in this RFP. Offerors are responsible for ensuring that UNOPS receives their proposal by the due date and time. Proposals received by UNOPS after the due date and time may be rejected.
- 7.3. The "Certificate of Offeror's Eligibility and Authority to Sign Proposal" contained in this RFP must be executed by a representative of offeror who is duly authorized to execute contracts and bind the offeror. Signature on the certificate represents that the offeror has read this RFP, understands it and agrees to be bound by its terms and conditions. The offeror's proposal with any subsequent modifications and counter-proposals, if applicable, shall become an integral part of any resulting contract.



### 8 Late proposals

Any proposals received by UNOPS after the deadline for submission of proposals prescribed in this document, may be rejected.

# 9 Clarification of proposals

To assist in the examination, evaluation and comparison of proposals, UNOPS may, at its discretion, ask the offeror for a clarification of its proposal. The request for clarification and the response shall be in writing and no change in the price or substance of the proposal shall be sought, offered or permitted. UNOPS will review minor informalities, errors, clerical mistakes, apparent errors in price and missing documents in accordance with the <u>UNOPS Procurement Manual 8.9 Discussions with vendors.</u>

# 10 Proposal currencies

All prices shall be quoted in RSD (Republic of Serbia Dinar) for national and EUR/USD for international companies.

UNOPS reserves the right not to reject any proposals submitted in another currency than the mandatory bidding currency stated above. UNOPS may accept proposals submitted in another currency than stated above if the offeror confirms during clarification of proposals (8) in writing that it will accept a contract issued in the mandatory proposal currency and that for conversion the official United Nations operational rate of exchange of the day of RFP deadline as stated in the RFP letter shall apply.

Regardless of the currency of proposals received, the contract will always be issued and subsequent payments will be made in the mandatory bidding currency above.

# 11 Mandatory/pre-qualification criteria

- 11.1. The mandatory requirements/pre-qualification criteria have been designed to assure that, to the degree possible in the initial phase of the RFP procurement process, only those offerors with sufficient experience, the financial strength and stability, the demonstrable technical knowledge, the evident ability to satisfy UNOPS requirements and superior customer references for supplying the services envisioned in this RFP will qualify for further consideration. UNOPS reserves the right to verify any information contained in offeror's response or to request additional information after the proposal is received. Incomplete or inadequate responses, lack of response or misrepresentation in responding to any questions will affect your evaluation.
- 11.2. Offerors will receive a pass/fail rating in the mandatory requirements/pre-qualification criteria section. In order to be considered for Phase I, offerors must meet all the mandatory requirements/pre-qualification criteria described in this RFP.
- 11.3. The UNOPS General Conditions of Contract for services/goods and the UNOPS contract, as part of this RFP, contain mandatory terms and conditions for offerors' review and acceptance. UNOPS will not entertain any proposed changes to the Non-Negotiable Articles. Offerors are expected to confirm in writing their acceptance of these conditions by completing the mandatory requirements/pre-qualification criteria as provided in Annex B. There will be no negotiation of terms and conditions and contract terms at contract award.

# 12 Evaluation of technical and financial proposal

- 12.1. Phase I Technical proposal (number of points)
  - 12.1.1. Only offerors meeting the mandatory criteria will advance to the technical evaluation in which a maximum possible 70 points may be determined. An evaluation committee appointed by UNOPS will carry out the technical evaluation applying the evaluation



criteria and point's ratings as listed below. In order to advance beyond Phase I of the detailed evaluation process to Phase II (financial evaluation) a proposal must have achieved a minimum cumulative average score of 49 points.

Expertise of Firm / Organization/Consortium submitting Proposal	20 points
Proposed Approach, Methodology and Work Plan  - Understanding of the background  - Methodology  - Work Plan	15 points
Personnel - Combined qualifications of the team - Proposed team leader and team members qualifications	35 points
TOTAL	70 points

### 12.2. PHASE II - FINANCIAL PROPOSAL - 30 points

12.2.1. Financial proposals will be evaluated following completion of the technical evaluation. The offeror with the lowest evaluated cost will be awarded 30 points. Financial proposals from other offerors will receive pro-rated points based on the relationship of the offeror's prices to that of the lowest evaluated cost.

12.2.1.1. Formula for computing points:

Points = (A/B) Financial Points

Example:

Offeror A's price is the lowest at \$10.00. Offeror A receives 30 points

Offeror B's price is \$20.00. Offeror B receives (\$10.00/\$20.00) X 30 points = 15

points

# 13 Preparation of proposal

- 13.1. The offeror is expected to examine all terms and instructions included in the solicitation documents. Failure to provide all requested information will be at offeror's own risk and may result in rejection of offeror's proposal.
- 13.2. The offeror's proposal must be organized to follow the format of this RFP. Each offeror must respond to every stated request or requirement and indicate that offeror understands and confirms acceptance of UNOPS stated requirements. The offeror should identify any substantive assumption made in preparing its proposal. The deferral of a response to a question or issue to the contract negotiation stage is not acceptable. Any item not specifically addressed in the offeror's proposal will be deemed as accepted by the offeror. The terms "offeror" and "contractor" refer to those companies that submit a proposal pursuant to this RFP.
- 13.3. Where the offeror is presented with a requirement or asked to use a specific approach, the offeror must not only state its acceptance, but also describe, where appropriate, how it intends to comply. Failure to provide an answer to an item will be considered an acceptance of the item. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.
- 13.4. The terms of reference/statement of work in this document provides a general overview of the current operation. If the offeror wishes to propose alternatives or equivalents, the offeror must demonstrate that any such proposed change is equivalent or superior to UNOPS established requirements. Acceptance of such changes is at the sole discretion of UNOPS.



- 13.5. Proposals must offer services/goods for the total requirement, unless otherwise permitted in the solicitation document. Proposals offering only part of the services/goods may be rejected unless permitted otherwise in the solicitation document.
- 13.6. Offeror's proposal shall include all of the following labelled annexes:

### RFP submission (on or before proposal due date):

As a minimum, offerors shall complete and return the below listed documents (Annexes to this RFP) as an integral part of their proposal. Offerors may add additional documentation to their proposals as they deem appropriate.

Failure to complete and return the below listed documents as part of the proposal may result in proposal rejection.

Part 1 of proposal	Mandatory Requirements/pre-qualification criteria (Annex B hereto)
Part 2 of proposal	Technical Proposal Submission Form (Annex C hereto)  - Firms expertise  - Implementation methodology/approach - Time Schedule and time management based on planned manpower  - Detailed overview of personnel and their qualifications - The CV of Team Leader - The CVs of responsible designers for each part of the design  Note: do not include any information on pricing  (see detailed evaluation criteria in relation to the proposal in the evaluation table, Annex C)
Part of proposal	Financial Proposal Submission Form (Annex D hereto)  Sealed in a separate envelope
Part of proposal	UNGM Vendor registration form (Annex E hereto)

If after assessing this opportunity you have made the determination not to submit your proposal we would appreciate if you could return this form indicating your reasons for non-participation.

### Pre-submission:

Offerors shall complete and return the Proposal/no proposal confirmation form prior to the submission deadline indicating whether they do or do not intend to proposal.

Stand-alone document	Proposal/no proposal confirmation form (Annex A hereto)
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# 14 Format and signing of proposal

The proposal shall be typed or written in indelible ink and shall be signed by the offeror or a person or persons duly authorized to bind the offeror to the contract. The latter authorization shall be indicated by written power-of-attorney accompanying the proposal.

A proposal shall contain no interlineations, erasures, or overwriting except as necessary to correct errors made by the offeror, in which case such corrections shall be initialled by the person or persons signing the proposal.

### 15 Award

- 15.1. Award will be made to the responsible and responsive offeror with the highest evaluated proposal following negotiation of an acceptable contract. UNOPS reserves the right conduct negotiations with the vendor regarding the contents of their offer. The award will be in effect only after acceptance by the selected offeror of the terms and conditions and the terms of reference/statement of work. The contract will reflect the name of the firm whose financials were provided in response to this RFP.
- 15.2. The selected offeror is expected to commence providing services as of the date and time stipulated in this RFP.



Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

Section 4: UNOPS terms of reference

Attached



Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# Section 5: UNOPSGeneral Conditions of Contract for Services

In the event of an order, the UNOPS General Conditions of Contract will apply. The conditions are available at: <a href="http://www.unops.org/english/Opportunities/suppliers/how-we-procure/Pages/default.aspx.under">http://www.unops.org/english/Opportunities/suppliers/how-we-procure/Pages/default.aspx.under "Opportunities" > "Suppliers" > "How we procure" > "UNOPS General Conditions for Contracts for Professional Services" / "UNOPS Conditions of Services – For contracts of a value less than USD 50,000". If your company is unable to access the document, please send an email request to:<a href="mailto:srpc.procurement@unops.org">srpc.procurement@unops.org</a> UNOPS General Conditions of Contract will be sent to you electronically.



Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

**Section 6: UNOPS Contract form for services** 

Attached



### ANNEX A

# Request for proposal

Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# Proposal/no proposal confirmation form

If after assessing this opportunity you have made the determination not to submit your proposal we would appreciate if you could return this form indicating your reasons for non-participation.

		Date:
To: From:	UNOPS PROCUREMENT UNIT	Fax/email +381(0)112435-703 Srpc.procurement@unops.org
Subject	RFPUNOPS-SFRS-2015-S-066	-
	<ul> <li>() The requested products are not available.</li> <li>() We cannot meet the requested term.</li> <li>() We cannot offer the requested type.</li> <li>() We can only offer FCA prices.</li> <li>() The information provided for quotat.</li> <li>() Your RFP is too complicated.</li> <li>() Insufficient time is allowed to prepate the delivery requir.</li> <li>() We cannot meet the delivery requir.</li> <li>() We cannot adhere to your terms and request for performance security, e.</li> <li>() We do not export.</li> <li>() Our production capacity is currently.</li> <li>() We are closed during the holiday set.</li> <li>() We had to give priority to other client.</li> <li>() We do not sell directly but through export.</li> </ul>	d below: hin our range of services/supply tive offer for the requested products at the moment allable at the moment ns of reference of packing tion purposes is insufficient are a quotation tements and conditions (please specify: payment terms, tc.)  full teason this requests distributors
	<ul> <li>() We have no after-sales service ava</li> <li>() The person handling the proposals</li> <li>() Other (please provide reasons)</li> </ul>	is away from the office
If UNOPS	( ) We would like to receive future RFF ( ) We don't want to receive RFPs for the squestions to the offeror concerning this N	this type of services/goods
Mr./Ms	, phone/email	, who will be able to assist.



### **ANNEX B**

Request for proposal

Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# Mandatory requirements/pre-qualification criteria

Offerors are requested to complete this form and return it as part of their submission.

Offerors will receive a pass/fail rating on this section. In order to be considered for Phase I, offerors must meet all the mandatory criteria described below. All questions should be answered on this form or an exact duplicate thereof. UNOPS reserves the right to verify any information contained in offeror's response or to request additional information after the proposal is received. Incomplete or inadequate responses, lack of response or misrepresentation in responding to any questions will affect your evaluation.

	Mandatory requirements/pre-qualification criteria	Meets (Yes/NO)	Supporting documents/information
1	Offer submitted before the deadline,	Yes/No	
2	Technical and financial offers submitted in separate sealed envelopes	Yes/No	
3	Proposal submitted in English language	Yes/No	
4	Proposal submission form is completed correctly and has been properly signed.	Yes/No	
5	The offer validity is in accordance with the requirements (see Section 3 Introduction).	Yes/No	
6	Offeror meets the eligibility criteria as described in the "Eligibility" paragraph of Section 3 of this tender.	Yes/No	
7	Offeror has registered on UNGM at basic level and has requested to be registered in UNGM at Level 2 with UNOPS.	Yes/No	Please make exact reference to where in your proposal this information can be located.
8	Minimum 1 reference and Letter of recommendation in producing technical documentation for high dams and accumulation with flood prevention and irrigation purposes capacity over 10,000,000m3, from reputable Investor during the last 5 years:	Yes/No	Please make exact reference to where in your proposal this information can be located.
8.1	Conceptual Design or General Design of the Dam and accumulation – at least one reference	Yes/No	
8.2	Preliminary Design of Dam and Accumulation – at least one reference	Yes/No	
8.3	Design for Civil Permit or Main Design of Dam and Accumulation - at least one reference	Yes/No	
8.4	Performing the geotechnical research and provide elaborate of geological condition for the purpose of producing the Technical Documentation for Dams - at	Yes/No	



	least one reference		
8.5	Performing the geotechnical study of the terrain and provide elaborate of geological condition for the purpose of producing the Technical Documentation for Dams - at least one reference	Yes/No	Ŧ
9	To possess the technical capacity for performing the works which presume having the geodetic equipment necessary for land survey of the terrain - submit a list of equipment. The offeror shall demonstrate adequate ownership or access to the following minimum equipment:	Yes/No	
	<ul> <li>Total station,</li> <li>Levelling apparatus with appropriate precise rods;</li> <li>At least two GNSS receivers (GPS signal receivers);</li> <li>Echo sounder with precise resolution.</li> </ul>		
	All of the above equipment should be hired or owned. Please provide adequate proof (hiring contracts or company's assets list) for this purpose.		
10	The equipment for the execution of geological research in accordance with the requirements of the Terms of Reference. The offeror shall demonstrate adequate ownership or access to the following minimum equipment:	Yes/No	
	<ul> <li>Drilling rig with suitable tools and equipment for drilling in loose and solid rock masses, standard diameters from D=76 mm to D=146 mm, down to maximum depth of 50 m.</li> <li>Equipment for conducting the water tightness experiment by Lugeon test procedure,</li> <li>Probing dilatometer for tests in boreholes of diameter D = 76 mm and depth down to 50 m.</li> <li>Equipment for testing rock mass strength by Point Load method.</li> <li>Equipment for geophysical research; Seismic refraction measurements along profiles, geoelectric scanning on a number of in-depth levels and seismic profiling between boreholes.</li> </ul>		
	All of the above equipment should be hired or owned. Please provide adequate proof (hiring contracts or company's assets list) for this purpose.		
11	The Offeror must be in registered for production of the technical documentation or construction of hydrotechnical structures. The Offeror should be in continuous business for minimum 3 (three) years. Document from Business Registers Agency to be provided. Document should not be older than 6 months from the date of the proposal.	Yes/No	Please make exact reference to where in your proposal this information can be located.
12	The Offeror shall provide all signed contracts on the basis of producing the Technical Documentation for similar Project of High dams and accumulations for flood protection and irrigation purposes. The aggregate amount of all contracts should be minimum RSD 180,000,000.00 in the last 5 years.	Yes/No	Please make exact reference to where in your proposal this information can be located.



13	<ol> <li>The Offeror shall provide the following professional company licences:</li> <li>P010G1 – Civil Engineering Projects, comprising high dams and reservoirs, subject to structural and environmental monitoring</li> <li>P010G3 – Hydro Technical Projects, comprising high dams and reservoirs, subject to structural and environmental monitoring,</li> <li>P080G3 – Hydraulic engineering projects for flood protection of urban and rural areas bigger than 300 ha,</li> </ol>	Licence #1 Licence #2 Licence #3	Please make exact reference to where in your proposal this information can be located.
14	The Offeror shall have the following as minimum:	100	
14.1	20 engaged personnel employed (or engaged pursuant to Art . 197, 202, 199 of the Labour Legislation)		
14.2	Four Civil Engineers with a licence no.310		
14.3	Four Civil Engineers with a licence no.316		
14.4	Four Civil Engineers with a licence no. 313 and 314		
14.5	Two Mechanical Engineers with a licence no. 332		
14.6	Two Geodetic Engineers with a licence no. 372		
14.7	Two Geological Engineers with a licence no 491	HARAMAN STATE OF THE STATE OF T	
14.8	Two Geological Engineers with a licence no 492		
14.9	One Geological Engineer with a licence no 493		
14.10	One Forestry Engineer with a licence no 375		
15	Minimum RSD 200,000,000.00 (Two Hundred Million Republic of Serbia Dinar) of total turnover within the last 3 years. Financial statements and solvency reports for last 3 years to be provided	Yes/No	Please make exact reference to where in your proposal this information can be located.
16	Tax administration receipts that the company paid all local and national taxes or the proof that they are on the vendors/qualified bidders list with the Business Registers Agency to be provided. Document should not be older than 6 months from the date of the proposal.	Yes/No	Please make exact reference to where in your proposal this information can be located.
17	Full acceptance of UNOPS General Conditions (for Professional Services) and UNOPS Contract for Professional Services	Yes/No	Please make exact reference to where in your proposal this information can be located.
18	Proposed work plan and detailed methodology/approach provided	Yes/No	Please make exact reference to where in your proposal this information can be located.
19	Time schedule and manpower estimate to be provided	Yes/No	Please make exact reference to where in your



			proposal this information can be located.
20	CV of Team Leader provided  Team Leader must have Civil Engineering background with 10 years of experience in design and valid licence for responsible designer no 310 issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers). Lead Engineer must prove relevant professional experience in Main Designs during the last 5 years in at least 1high dam with accumulation bigger than 10.000.000m3, with decisions of appointment as responsible designer.	Yes/No	Please make exact reference to where in your proposal this information can be located.
21	CV of Team Members provided  List of proposed Design Team members/responsible designers as well as the personal CVs and copies of valid professional licences issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers).	Yes/No	Please make exact reference to where in your proposal this information can be located.
	At least two key experts from the Team members/responsible designers must have 5 years of experience in design, one of them with Civil Engineering background and valid licences for no 313 or 314 and the other with Geological Engineering background and valid licences 491 or 492, both issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers). Both key experts must prove relevant professional experience that each one of the key experts participated in developing of Main Designs for at least 1 high dam		



### **ANNEX C**

Request for proposal

Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# Technical proposal submission form

- a. This Technical Proposal Submission Form must be completed in its entirety.
- b. This Technical Proposal Submission Form consists of this cover page, the Certificate of Offeror's Eligibility and Authority to sign Proposal and the Technical Proposal itself.
- The entire Technical Proposal and all required and optional documentation related to the technical competent of the proposal must be placed in an envelope pre-addressed and marked:
  - RFP No (UNOPS-SFRS-2015-S-066) (description of requirement) Technical proposal- (name and address of Offeror)
  - If submitted by email, the email subject line should read:
  - RFP No (UNOPS-SFRS-2015-S-066) (Name of Offeror) Technical proposal
- d. The Technical Proposal envelope / the Technical Proposal email is herewith submitted in accordance with the instructions given in the request for proposal.
- e. The completed and signed Technical Proposal Submission Form, together with the mandatory requirements / pre-qualification criteria document completed by me (Appendix 1 to this offer), together with any other supporting documentation submitted in accordance with this RFP and/or voluntarily constitutes the offeror's Technical Proposal and fully responds to the request for proposal No (UNOPS-SFRS-2015-S-066)

Offeror Eligibility Confirmation and Information	Offeror's Response
What year was your firm/organization established?	
2. In what province/state/country is your firm/organization established?	
<ol> <li>Has your firm/organization ever filed or petitioned for bankruptcy? (If YES, explain in detail the reasons why, filing date, and current status.)</li> </ol>	Yes; No
4. Have you ever been terminated for non-performance on a contract? If YES, describe in detail.	Yes; No
<ol> <li>Have you ever been suspended or debarred by any government, a UN agency or other international organization? If YES, provide details, including date of reinstatement, if applicable.</li> </ol>	
6. It is UNOPS policy to require that offerors and their sub-contractors observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by another or a sub-contractor to influence the selection process or contract execution for undue advantage is improper.	Confirm Yes; No
In pursuance of this policy, UNOPS:	
(a) defines, for the purposes of this provision, the terms set forth below as follows:	
(i) "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly,	Dog 40 of 20



Offeror Eligibility Confirmation and Information	Offeror's Response
of anything of value to influence improperly the actions of another party;	
(ii) "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;	c
(iii) "collusive practices" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;	
(iv) "coercive practices" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;	
(v) "obstructive practice" is	
(aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or	
(bb) acts intended to materially impede the exercise of UNOPS' inspection and audit rights.	
Confirm that the offeror and its sub-contractors have not engaged in any corrupt, fraudulent, collusive, coercive or obstructive practices in competing for this solicitation.	ii ii
7. Officials not to benefit: Confirm that no official of UNOPS has received or will be offered by the offeror or its sub-contractors, any direct or indirect benefit arising	Confirm
from this solicitation or any resulting contracts.	Yes; No
8. Confirm that the offeror is not engaged in any activity that would put it, if selected for this assignment, in a conflict of interest with UNOPS.	Confirm
this assignment, in a conflict of interest with orders.	Yes; No
9. Confirm that the offeror and your sub-contractors have not been associated, or	Confirm
had been involved in any way, directly or indirectly, with the preparation of the design, terms of references and / or other documents used as a part of this solicitation.	Yes; No
10. UNOPS policy restricts companies from bidding on or receiving UNOPS contracts if a UNOPS staff member or their immediate family are an owner, officer, partner or board member or in which the staff member or their immediate family has a financial interest. Confirm that no UNOPS staff member or their immediate family are an owner, officer, partner or board member or have a financial interest in either the offeror or its subcontractors.	Confirm Yes; No



l,		, certify that I am	
	of	that by signing t	nis
Proposal for and on ber contained herein is accupowers.	nalf of urate and truthful and that the sig	; that by signing the signing that by signing the signing that all informations of this Proposal is within the scope of my	on
I, by signing this Propos	sal, commit my Offer to be bound ecified in the solicitation package	d by this Technical Proposal for carrying out the e.	
		(Seal)	
(Signature)			
(Printed Name and Title	<b>()</b>		
(Date)			
Provide the name and o	contact information for the primar	ry contact from your company for this solicitation:	
Name:			
Title:	<del>,</del>		
Address:	4		
Telephone Number			
Fax Number:			
Email Address:			



# **Technical proposal submission form**

The offeror's proposal must be organized to follow the format of this RFP. Each offeror must respond to every stated request or requirement and indicate that offeror confirms acceptance of and understands UNOPS stated requirements. The offeror should identify any substantive assumption made in preparing its proposal. The deferral of a response to a question or issue to the contract negotiation stage is not acceptable. Any item not specifically addressed in the offeror's proposal will be deemed as accepted by the offeror. The terms "offeror" and "contractor" refer to those companies that submit a proposal pursuant to this RFP.

Where the offeror is presented with a requirement or asked to use a specific approach, the offeror must not only state its acceptance, but also describe, where appropriate, how it intends to comply. Failure to provide an answer to an item will be considered an acceptance of the item. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive. Where a statement of non-compliance is provided, the offeror must indicate its reasons and explain its proposed alternative, if applicable, and the advantages and disadvantages to UNOPS of such proposal.

Section		Offerors response
1,	Expertise of Firm/Organization/Consortium submitting Proposal (maximum 20 points)	
Q1.1.	<ul> <li>Experience of the organisation, general organizational capabilityand reputation of the firm which is likely to affect implementation of the design (description of the firm and background material to be provided):         <ul> <li>Company with 3 years of experience in similar designs since registration date - 4 points</li> <li>From 4 to 7 years of experience in similar activities since registration date - additional 2 point</li> <li>More than 7 years of experience in similar activities since registration date - additional 2 points.</li> </ul> </li> <li>(maximum of 8 points)</li> </ul>	
Q1.2.	General organizational capability which is likely to affect implementation i.e. loose consortium, holding company or one firm, size of the firm / organization, strength of project management support e.g. project financing capacity and project management controls; (maximum 2 points)	
Q1.3	Minimum 1 reference and Letter of recommendation in producing technical documentation for high dams and accumulation with flood prevention and irrigation purposes capacity over 10,000,000m3, from reputable Investor during the last 5 years:  - Conceptual Design or General Design of the Dam and accumulation – at least one reference,  - Preliminary Design of Dam and Accumulation – at least one reference,  - Design for Civil Permit or Main Design of Dam and Accumulation - at least one reference  - Performing the geotechnical research and provide elaborate of geological condition for the purpose of producing the Technical Documentation for Dams - at least one reference  - Performing the geotechnical study of the terrain and provide elaborate of geological condition for the purpose of producing the Technical Documentation for Dams - at least one reference	
	This will carry 3 points.	



Section		Offerors response
,	Additional 3 similar projects or more, except for Design and General Design – additional 2 points  Additional 1 similar project or more for Design and General Design – additional 3 points  (maximum 8 points for the overall experience)	
Q1.4.	To possess the technical capacity for performing the works which presume having the geodetic equipment necessary for land survey of the terrain - submit a list of equipment. The offeror shall demonstrate adequate ownership or access to the following minimum equipment:  - Total station, - Levelling apparatus with appropriate precise rods; - At least two GNSS receivers (GPS signal receivers); - Echo sounder with precise resolution.  All of the above equipment should be hired or owned. Please provide adequate proof (hiring contracts or company's assets list) for this purpose.  The equipment for the execution of geological research in accordance with the requirements of the Terms of Reference. The offeror shall demonstrate adequate ownership or access to the following minimum equipment: - Drilling rig with suitable tools and equipment for drilling in loose and solid rock masses, standard diameters from D=76 mm to D=146 mm, down to maximum depth of 50 m Equipment for conducting the water tightness experiment by Lugeon test procedure, - Probing dilatometer for tests in boreholes of diameter D = 76 mm and depth down to 50 m Equipment for testing rock mass strength by Point Load method Equipment for geophysical research; Seismic refraction measurements along profiles, geoelectric scanning on a number of in-depth levels and seismic profiling between boreholes.  All of the above equipment should be hired or owned. Please provide adequate proof (hiring contracts or company's assets list) for this purpose.  (maximum 2 points for the equipment)	
2.	Proposed Work Plan and Approach (maximum 15 points)	
Q2.1.	Expected outputs well described within the proposal and they are furthermore realistic, achievable and contribute to desired objectives (maximum 5 points)	
Q2.2.	Explain how you will identify, approach and work with the relevant stakeholders/beneficiaries and access sources of information (maximum 5 points)	
Q2.3.	Time schedule and manpower estimates: explain and justify your scheduling of tasks to achieve the results and outputs according to the schedule required in the TOR. Explain how you plan to provide the outputs throughout the organigramme (maximum 5 points)	
3.	Personnel (maximum 35 points)	
Q3.1,	Qualifications of the combined expertise of the proposed team consisted of Team Leader, Team Members/Core Experts and all other supporting personnel including their previous experience working as a team (maximum 3 points)	



Section	A				
Q3.2.	Team Leader must have Civil Engineering background and at least 10 years of experience in design. Valid licence no 310 for responsible designer issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers). Lead Engineer must prove relevant professional experience in last 5 years in providing Main Design or Design for Construction permit for at least 1 (one) high dam with accumulation bigger than 10.000.000m3 with decisions of appointment as responsible designer.	**			
	<ul> <li>Team leader with minimum 1 main design for high dam with accumulation bigger than 10.000.000m3 - 7 points</li> <li>From 2 to 3 main designs forhigh dam with accumulation bigger than 10.000.000m3 - additional 5 points</li> <li>More than 3 main designs for high dam with accumulation bigger than 10.000.000m3 - additional 3 points.</li> </ul>				
	(maximum 15 points)				
Q3.3.	Team Members - List of proposed Design Team members/responsible designers as well as the personal CVs and copies of valid professional licences issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers) to be provided. At least two Team members/responsible designers must have:  - Civil Engineering background and at least 5 years experience in design with a valid licence no 313 or 314 for responsible designer issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers).  - Geological Engineering background and at least 5 years experience in design with a valid licence no 491 or 492 for responsible designer issued by Serbian Chamber of Engineers (or accredited by Serbian Chamber of Engineers).				
	And must have relevant professional experience proving that each responsible team member/designer has participated in developing of Main Design or Design for Construction permit for at least 1 high dam				
	<ul> <li>Two Team members with minimum 1 main design for each team member for hydro technical structures - 8 points</li> <li>Any additional main design of any Team Member brings additional one point up to 4 points.</li> </ul>				
	(maximum 12 points)				
Q3.4.	The rest of the team (contract management, office backstopping, support and administration staff) needs to have education background or work experience that is relevant to the requirements of this ToR. For this purpose the Bidder shall provide the company's organisation chart.  Maximum 5 points				



### ANNEX D

Request for proposal

Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# Financial proposal submission form

- a. This Financial Proposal Submission Form must be completed in its entirety.
- b. Financial proposals must be submitted in: RSD (Republic of Serbia Dinar)
- c. The entire Price Proposal must be placed in a separate envelope pre-addressed and marked:

Do not open! - RFP No UNOPS-SFRS-2015-S-066 - Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River — Financial proposalname and address of offeror.

d. The completed Financial Proposal Submission Form constitutes Offeror's Financial Proposal and fully responds to Request for Proposal No**Error! Reference source not found.** I commit my Offer to be bound by this Financial Proposal for carrying out the range of services as specified in the solicitation package.

In compliance with this RFP the undersigned, propose to furnish all labour, materials and equipment in order to provide goods and services as stipulated in the RFP. This shall be done at the price set in this Schedule and in accordance with the Contract terms in this RFP.

(Signature)	(Name)
(Name of offeror)	
(Date)	(Address)
(Telephone No.)	(Fax No.)
(Email address)	



# Financial proposal submission form

Currency:	Republic of Ser	bia Dinar (RSD	))			
Name(s) of consultant(s) (a)	Number of working days (b)	Daily fee (c)	)	Daily subsistence allowance (d)		Total (e)=(b)x((c)+(d))
					SUB TOTAL:	
International/National travels (a)		Quantity (b)	Cost (c)		Currency (RSD)	Total (e)=(b)x(c)
					SUB TOTAL:	
					SUB TUTAL:	
Other Costs (des	Cost (lump-sum)					

GRAND TOTAL:



### **ANNEX E**

Request for proposal Provision of Technical Documentation for Dams with Accumulations "PAMBUKOVICA" on Ub River and "KAMENICA" on Tamnava River RFP No.UNOPS-SFRS-2015-S-066

# **UNGM** vendor registration form

As part of the proposal, it is desired that the offeror goes to the United Nations Global Marketplace (UNGM) registration website: <a href="https://www.ungm.org/Registration/RegisterSupplier.aspx">https://www.ungm.org/Registration/RegisterSupplier.aspx</a> and fills out the registration. If the offeror is already registered with UNGM, please provide your UNGM registration number (\_\_\_\_\_\_\_). Please ensure that your firm's information on UNGM is current.

Offerors need to familiarise themselves with the <u>United Nations Supplier Code of Conduct</u>. UNOPS encourages all suppliers to subscribe to the <u>United Nations Global Compact</u>.

The offeror may still proposal even if not registered with the UNGM. However, if the offeror is selected for contract award, the offeror must register on the UNGM prior to contract signature.



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